

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 454313-3154.2		SERIAL NO. To Be Assigned	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Jean-Christophe Francis AUDONNET et al.			
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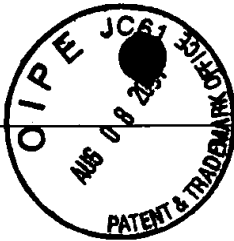
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE	
AA							
AB							

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	
AE WO 98/03196	01/29/98	PCT				X	
AF 2,751,229	01/23/98	France				X	
AG WO 98/03658	01/29/98	PCT				X	
AH WO 94/27435	12/08/94	PCT					
AI WO 98/40499	09/17/98	PCT					
AJ WO 94/01133	01/20/94	PCT					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
AK		Gao Yi et al., "Truncated Bvine Herpesvirus-1 Glycoprotein I (gpl) Initiates a Protective Local Immune Response in its Natural Host" VACCINE, GB, BUTTERWORTH SCIENTIFIC. GUILFORD; Vol. 12, No. 2, 1994, pps. 145-152
AL		Wheeler, C.J. et al., "Converting an Alcohol 1-40 to an Amine in a Cationic Lipid Dramatically Alters to Co-Lipid Requirement, Cellular Transfection Activity and the Ultrastructure of DNA-Cytfectin Complexes"; BIOCHIMICA ET BIOPHYSICA ACTA, NL, AMSTERDAM; Vol. 1280, No. 1, 1996, pps 1-11
AM		Felgner J.H. et al., "Enhanced Gene Delivery and Mechanism Studies with a Novel Series of Cationic Lipid Formulations"; JOURNAL OF BIOLOGICAL CHEMISTRY, U.S., AMERICAN SOCIETY OF BIOLOGICAL CHEMISTS, BALTIMORE, MD, Vol. 269, No. 4, January 28, 1994, pps. 2550-2561
AN		Haddad, Diana et al., "Comparative Study of DNA-based Immunization Vectors: Effect of Secretion Signals on the Antibody Responses of Mice"; BIOSIS, Stockholm Univ., Stockholm, 1997, Vol. 18. ABSTRACT ONLY
AO		Li Xiaomao et al, "Protection Against Respiratory Syncytial Virus Infection by DNA Immunization"; JOURNAL OF EXPERIMENTAL MEDICINE, TOKYO, JP, Vol. 188, No. 4, August 17, 1998, pps. 681-688

EXAMINER 	DATE CONSIDERED <div style="font-size: 1.5em; font-family: cursive;">3-5-03</div>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
J	AA	5,703,055	12/30/1997	Felgner, et al.			
	AB	5,580,859	12/3/1996	Felgner, et al.			
	AC	5,620,896	4/15/1997	Herrmann, et al.			
	AD	5,643,578	7/1/1997	Robinson, et al.			
	AE	5,459,127	10/17/1995	Felgner, et al.			
	AF	5,548,412	8/20/1996	Minamizawa, et al			
	AG	6,187,759	2/13/2001	Tarpey, et al.			
	AH	5,846,946	12/8/1998	Huebner, et al.			

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI	WO 98/03660	1/29/1998	WIPO <i>not in English</i>				X
	AI	WO 98/06934	3/7/1996	WIPO				X
<i>ser</i>	AK	WO 96/34109	10/31/1996	WIPO				
<i>1</i>	AL	WO 96/40945	12/19/1996	WIPO				
	AM	WO 95/20660	8/3/1995	WIPO				
<i>gms</i>	AN	EP 737 750	3/21/1990	EUROPE				
	AO	WO 98/03198	1/29/1998	WIPO				X
<i>ser</i>	AP	WO 97/40163	10/30/1997	WIPO				
	AQ	WO 98/03199	1/29/1998	WIPO				X
<i>Dr</i>	AR	WO 97/41236	11/6/1997	WIPO				
	AS	WO 98/03200	1/29/1998	WIPO				X
<i>Dr</i>	AT	WO 98/40499 (abstract)	9/17/1998	WIPO				

2	AU	Cox, GJM, et al. "Bovine Herpesvirus 1: Immune Responses in Mice and Cattle Injected with Plasmid DNA" J. Virol 1993, Vol 67, No 9:5664-5667
1	AV	Hartikka, J, et al. "An Improved Plasmid DNA Expression Vector for Direct Injection into Skeletal Muscle" Human Gene Therapy 1996, Vol 7:1205-1217.
	AW	Manthorpe, M., et al. "Gene Therapy by Intramuscular Injection of Plasmid DNA" Studies on Firefly Luciferase Gene Expression in Mice" Human Gene Therapy 1993, Vol 4:419-431.
2	AX	Bourne, N., et al. "DNA Immunization against Experimental Genital Herpes Simplex Virus Infection" The Journal of Infectious Diseases 1996, Vol 173:800-807.

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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AY	Ertl, H.C.J. and Xiang, Z.Q. "Genetic Immunization" <i>Viral Immunology</i> 1996, Vol 9, No 1:1-9.
AZ	van Drunen Little-van den Hurk, S. et al., "Intradermal immunization with a bovine herpesvirus - 1 DNA vaccine induces protective immunity in cattle" <i>Journal of General Virology</i> 1998, Vol 79:831-839.
BA	Donnelly, J.J., et al., "Immunization with DNA" <i>Journal of Immunological Methods</i> 1994, Vol 176:145-152.
BB	van Rooij, E.M.A., et al., "Effect of vaccination route and composition of DNA vaccine on the induction of protective immunity against pseudorabies infection in pigs" <i>Veterinary Immunology and Immunopathology</i> 1998, Vol 66:113-126.
BC	Ulmer, J.B., et al., "Protective immunity by intramuscular injection of low doses of influenza virus DNA vaccines" <i>Vaccine</i> 1994, Vol 12, No 16:1541-1544. (Abstract) ABSTRACT ONLY
BD	Andrew, M.E., et al., "Protection of pigs against classical swine fever with DNA-delivered gp55" <i>Vaccine</i> 2000, Vol 18:1932-1938.
BE	Ulmer, J. B., et al., "Heterologous Protection Against Influenza by Injection of DNA Encoding a Viral Protein" <i>Science</i> 1993, Vol 259:1745-1749.
BF	Xiang, Z.Q., et al., "Vaccination with a Plasmid Vector Carrying the Rabies Virus Glycoprotein Gene Induces Protective Immunity against Rabies Virus" <i>Virology</i> 1994, Vol 199:132-140.
BG	Jenkins, M., et al., "Serum and colostrum antibody responses induced by jet-injection of sheep with DNA encoding a <i>Cryptosporidium parvum</i> antigen" <i>Vaccine</i> 1995, Vol 13, No 17:1658-1664.
BH	Fynan, E.F. et al., "DNA vaccines: Protective immunizations by parenteral, mucosal, and gene-gun inoculations" <i>Proceedings of the National Academy of Sciences, USA</i> 1993, Vol 90:11478-11482.
BI	Robinson, H.L. et al., "Protection against a lethal influenza virus challenge by immunization with a haemagglutinin-expressing plasmid DNA" <i>Vaccine</i> 1993, Vol 11, No 9:957-960.
BJ	Zhu, N. et al., "Systemic Gene Expression After Intravenous DNA Delivery into Adult Mice" <i>Science</i> 1993, Vol 261:209-211.
BK	Sixt, N. et al., "Canine Distemper Virus DNA Vaccination Induces Humoral and Cellular Immunity and Protects against a Lethal Intracerebral Challenge" <i>Journal of Virology</i> 1998, Vol 72, No 11:8472-8476.
BL	Ban, E.M. et al., "Mucosal immunization with DNA encoding influenza hemagglutinin" <i>Vaccine</i> 1997, Vol 15, No 8:811-813. (Abstract)
BM	Etchart, N. et al., "Class I-restricted CTL induction by mucosal immunization with naked DNA encoding measles virus haemagglutinin" <i>Journal of General Virology</i> 1997, Vol 78:1577-1580.
BN	Gregoriadis, G. "Genetic Vaccines: Strategies for Optimization" <i>Pharmaceutical Research</i> 1998, Vol 15, No 5:661-670.
BO	Ishii, N. et al., "Cationic Liposomes Are a Strong Adjuvant for a DNA Vaccine of Human Immunodeficiency Virus Type 1" <i>AIDS Research and Human Retroviruses</i> 1997, Vol 13, No 16:1421-1428.
BP	Haddad, D. et al., "Comparative study of DNA-based immunization vectors: effect of secretion signals on the antibody responses in mice" <i>FEMS Immunology and Medical Microbiology</i> 1997, Vol 18:193-202.
BQ	Gregoriadis, G. et al., "Liposome-mediated DNA vaccination" <i>FEBS Letters</i> 1997, Vol 402:107-110.
BR	Xiang, Z. and Ertl, H.C.J. "Manipulation of the Immune Response to a Plasmid-Encoded Viral Antigen by Coinoculation with Plasmids Expressing Cytokines" <i>Immunity</i> 1995, Vol 2:129-135.
BS	Choi, A.H.C. et al., "Particle-Bombardment-Mediated DNA Vaccination with Rotavirus VP4 or VP7 Induces High Levels of Serum Rotavirus IgG but Fails to Protect Mice against Challenge" <i>Virology</i> 1998, Vol 250:230-240.
BT	Xu, L. et al., "Immunization for Ebola virus infection" <i>Nature Medicine</i> 1998, Vol 4, No 1:37-42.
BU	Behr, J.P. "Gene Transfer with Synthetic Cationic Amphiphiles: Prospects for Gene Therapy" <i>Bioconjugate Chemistry</i> 1994, Vol 5, No 5:382-389.
BV	Naik, S. and Shaila, MS. "Characterization of membrane-bound and membrane anchor-less forms of hemagglutinin glycoprotein of Rinderpest virus expressed by baculovirus recombinants" <i>Virus Genes</i> 1997, Vol 14, No 2:95-104. (Abstract) ABSTRACT ONLY
BW	Nash, R.A. et al., "Molecular cloning and in vivo evaluation of canine granulocyte-macrophage colony-stimulating factor" <i>Blood</i> 1991, Vol 78, No 4:930-937. (Abstract) ABSTRACT ONLY
EXAMINER	DATE CONSIDERED 3.5.03

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